# The roles of microRNAs in neuroblastoma

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**Background:** Neuroblastoma (NB) is the most common extracranial solid tumor in childhood and displays remarkable heterogeneity in clinical behaviors, ranging from spontaneous regression to rapid progression or resistance to multimodal treatment. Recent evidence has shown that microRNAs (miRNAs), a class of small non-coding RNAs, are involved in tumor development and progression. This article aimed to review recent advances in investigating the roles of miRNAs in NB.

*Methods:* We searched the PubMed/MEDLINE database for articles about the expression profile, functions and target genes of miRNAs in NB.

**Results:** We reviewed the most recent evidence regarding the functional roles of oncogenic and tumor suppressive miRNAs in NB and application of novel miRNA-based methods for diagnostic, prognostic and therapeutic purposes.

*Conclusions:* Deregulation of miRNAs is associated with the development and progression of NB, suggesting that miRNAs may serve as novel targets for the treatment of high-risk NB patients. However, their precise functions and underlying mechanisms still warrant further studies.

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*Key words:* microRNA; neuroblastoma; treatment

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#### Introduction

euroblastoma (NB), the most common extracranial malignant solid tumor in childhood, accounts for 15% of all childhood cancer mortality, and presents remarkable heterogeneity in pathological, biological, genetic and clinical characteristics.<sup>[1]</sup> Some NBs can spontaneously regress or differentiate into benign ganglioneuroma, whereas others present rapid progression or resistance to multimodal treatment.<sup>[2-4]</sup> For patients with high-risk NB, many therapeutic approaches such as surgery, chemoradiotherapy, stem cell transplantation, and antidisialoganglioside (GD2) immunotherapy combined with cytokines, fail to improve the clinical outcome.<sup>[4]</sup> The estimated 5-year event-free survival rate of highrisk NB is lower than 50%, and its treatment remains challenging.

MicroRNAs (miRNAs) were first discovered in 1993 as a class of small non-protein-coding RNAs, ranging from 22 to 25 nucleotides in length.<sup>[5]</sup> These small RNAs are conserved during evolution, and control gene expression at transcriptional and posttranscriptional levels in bacteria, viruses, plants, and animals. Moreover, investigations indicated that miRNAs were involved in carcinogenesis and aggressive progression of various tumors, including breast cancer,<sup>[6]</sup> non-small cell lung cancer,<sup>[7]</sup> prostate cancer,<sup>[8]</sup> ovarian cancer,<sup>[9]</sup> etc. More recently, miRNAs such as miR-17-92 cluster and miR-34a have been implicated in the pathogenesis of NB.<sup>[1]</sup> The present review highlights recent findings and crucial roles of miRNAs in regulating the biological behavior of NB, which indicate the potential application of novel miRNA-based strategies for diagnostic, prognostic and therapeutic purposes.

## **Biogenesis of miRNAs and its regulation**

miRNAs are the most widely studied small non-coding RNAs that negatively regulate gene expression in human cells.<sup>[10]</sup> Biogenesis of miRNAs is a complex process. miRNAs are processed from primary precursor molecules (pri-miRNAs), which are transcribed from either introns of protein-coding genes or other non-coding regions of chromosomes.<sup>[10]</sup> In the canonical

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pathway, the pri-miRNAs are cleaved by RNase III endonuclease Drosha and double-stranded RNAbinding domain protein DiGeorge syndrome critical region gene 8 (DGCR8; also known as Pasha in Drosophila melanogaster and Caenorhabditis elegans) in the nucleus. The cleaved products are exported to cytoplasm where another RNase III endonuclease Dicer processes them into mature ~22 nt miRNA/ miRNA\* duplexes.<sup>[10]</sup> One strand of this duplex is usually incorporated into the miRNA-induced silencing complex, and most animal miRNAs form imperfect base pairs with their target mRNAs, usually in the 3'-untranslated region (3'-UTR), leading to translational repression or deadenvlation and degradation.<sup>[10]</sup> Over one third of human genes are predicted to be directly targeted by miRNAs. Expression profiling studies indicate that most miRNAs are under the control of tissue-specific and/or developmental signaling.<sup>[11]</sup>

Transcriptional control confers another important regulation of miRNA biogenesis. Numerous transcription factors, such as p53, MYC, myogenin and myoblast determination 1 (MYOD1), are shown to participate in the transcriptional control of miRNA genes.<sup>[11]</sup> Enhancers, silencing elements, and chromatin modification also contribute to miRNA gene regulation. For instance, the miR-203 locus is frequently subjected to DNA methylation in hematopoietic tumors, but not in normal T lymphocytes.<sup>[12]</sup> Consequently, the precise control of miRNA levels is crucial to maintain normal cellular function, and dysregulation of miRNA is often associated with human diseases, including cancers. Thus, the roles of miRNAs have emerged as a new research frontier for understanding the mechanisms of tumorigenesis.

## **Emerging roles of miRNAs in NB**

miRNAs contribute to almost all aspects of tumor biology such as proliferation, apoptosis, differentiation, invasion, metastasis, and angiogenesis. Many miRNAs are found to be up- or down-regulated in cancer samples relative to their normal tissue counterparts.<sup>[13]</sup> Aberrantly expressed miRNAs are also involved in the initiation and progression of pediatric NB as oncogenes or tumor suppressors (Fig.), and some particular miRNAs are considered as emerging potential candidates for improving the diagnosis, prognosis and therapies of human cancers. We will discuss the expression profile, potential functions, and target genes of different miRNAs in the tumorigenesis and aggressiveness of pediatric NB (Table).

## **Oncogenic miRNAs in NB**

Different approches have been applied to identify the

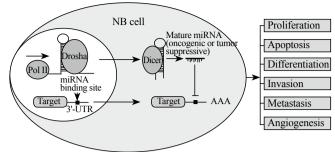


Fig. miRNAs as oncogenes or tumor suppressors in neuroblastoma. NB: neuroblastoma; miRNAs: microRNAs; Pol II: RNA polymerase II; 3'-UTR: 3'-untranslated region; AAA: poly-A tail of mature mRNA.

expression profiles of miRNAs in NB. In determining different expression profiles of miRNAs in low-, intermediate- and high-risk NB specimens, many miRNAs were found to be up-regulated in high-risk NB, including miR-18b, miR-20a, miR-22, miR-92a, miR-181a, miR-181a-2, miR-203, miR-373, miR-383, miR-422a, miR-876-5p, miR-1208, miR-1285, miR-1290, and miR-1291.<sup>[40]</sup> Through establishing the heterotopic transplantation murine model of NB. Guo et al<sup>[41]</sup> identified 35 up-regulated miRNAs in metastatic NB tumors, such as miR-24, miR-92b, miR-99b, miR-129-3p, miR-130b, miR-342-3p, miR-345, miR-483-3p, and miR-486-5p. The miR-17-92 polycistronic cluster, including miR-17-5p, miR-18a, miR-19a, miR-20a and miR-92, was highly expressed in NB tissues due to amplification of the miR-17-92 locus or direct transactivation by c-MYC/MYCN,<sup>[14]</sup> and was a marker for poor survival of NB.<sup>[15,16]</sup> Interestingly. although miR-17-92 was also up-regulated along with elevated MYC/MYCN levels in medulloblastoma (MB), another common malignant solid tumor in children, its expression was highest in MB subgroup with activation of the sonic hedgehog signaling pathway.<sup>[42]</sup> In addition, MYCN-induced down-regulation of Dickkopf-3 (DKK3) in NB, a gene encoding an extracellular protein with presumed tumor suppressor activity, was caused by direct up-regulation of miR-17-92 components, which further contributed to the pleiotropic oncogenic effects of MYCN.<sup>[17]</sup> However, no miRNA was identified to directly repress DKK3 in MB so far.<sup>[43]</sup> Moreover, Schulte et al<sup>[16]</sup> reported that oncogenic miR-181 was over-expressed in unfavorable NB. miR-21, a very well-known oncogenic miRNA in a variety of cancer types, promoted the proliferation and decreased the chemosensitivity of human NB cells.<sup>[18]</sup> However, Buechner et al<sup>[44]</sup> reported that inhibition of miR-21 did not affect the differentiation or proliferation of NB cells, suggesting that the precise biological and regulatory functions of miR-21 in NB still warrant further studies. In another study,<sup>[19]</sup> miR-380-5p was

miRNA	Expression patterns	Target genes	Potential function
Oncogenic			
miR-17-92 <sup>[1,14-17]*</sup>	Up-regulated in high-risk NB	DKK3, CDKN1A, BIM, ER- α, MEF2D	Increases proliferation, decreases apoptosis, andinhibits TGF-β signaling
miR-181 <sup>[16]</sup>	Over-expressed in unfavorable NB	ATM	NA
miR-21 <sup>[18]</sup>	Up-regulated in high-risk NB	PTEN	Promotes proliferation, and decreases sensitivity to cisplatin
miR-380-5p <sup>[19]</sup>	Up-regulated in high-risk NB	p53	Decreases apoptosis
mR-124 <sup>[20]</sup>	NA	AHR	Inhibits apoptosis and differentiation
miR-15a <sup>[21]</sup>	Up-regulated in metastatic NB	RECK	Promotes migration
Tumor suppressive			
miR-34a <sup>[1,22-24]</sup>	Down-regulated in high-risk NB	E2F3, BCL2, CCND1, CDK4, MYCN	Inhibits proliferation and induces apoptosis
miR-34c <sup>[1,22,23]</sup>	Down-regulated in high-risk NB	MYCN	Anti-proliferative effects
miR-410,487b <sup>[25]</sup>	Down-regulated in high-risk NB	NA	Biomarkers of relapse
miR-628 <sup>[26]</sup>	Expressed in favorable NB, absent in unfavorable NB	NA	NA
miR-542-5p <sup>[26,27]</sup>	Expressed in favorable NB, absent in unfavorable NB	GRIN3A, SH3GLB2, SNIP	Inhibits tumor growth, invasiveness and metastasis
let-7 <sup>[28]</sup>	Down-regulated in NB with MNA	MYCN	Inhibits proliferation and induces differentiation
miR-101 <sup>[28]</sup>	Down-regulated in NB with MNA	MYCN	Inhibits proliferation and clonogenic growth
miR-885-5p <sup>[29]</sup>	Down-regulated in NB	CDK2, MCM5	Inhibits cell cycle progression and survival
miR-27b <sup>[30]</sup>	Down-regulated in NB	PPARγ	Inhibits tumor growth
miR-138 <sup>[31]</sup>	Down-regulated in NB	NA	Decreases viability and growth
miR-137 <sup>[32]</sup>	Down-regulated in NB	KDM1A	Inhibits proliferation and induces apoptosis
miR-204 <sup>[33]</sup>	Down-regulated in high-risk NB	BCL2, NTRK2	Increases sensitivity to cisplatin and etoposide
miR-10a/b <sup>[34]</sup>	Under-expressed in NB	NCOR2	Induces differentiation
miR-335 <sup>[35,36]</sup>	Down-regulated in NB	ROCK1, MAPK1, LRG1	Inhibits invasiveness and metastasis
miR-363 <sup>[35]</sup>	Down-regulated in NB	NA	Inhibits tumorigenesis and metastasis
miR-190 <sup>[37]</sup>	Down-regulated in high-risk NB	NEUROD1	Inhibits TrkB pathway and aggressive phenotypes
miR-9 <sup>[38]</sup>	Down-regulated in NB	MMP-14	Inhibits the invasion, metastasis, and angiogenesis
miR-145 <sup>[39]</sup>	Down-regulated in NB	HIF-2a	Inhibits the growth, invasion, metastasis and
			angiogenesis

Table. Roles of oncogenic and tumor suppressive miRNAs (microRNAs) in neuroblastoma

\*: including miR-17-5p, miR-18a, miR-19a, miR-20a and miR-92. AHR: aryl hydrocarbon receptor; ATM: ataxia telangiectasia mutated; BCL2: B-cell CLL/lymphoma 2; BIM: BCL2-like 11; CCND1: cyclin D1; CDK2: cyclin-dependent kinase; CDK4: cyclin-dependent kinase 4; CDKN1A: cyclin-dependent kinase inhibitor 1A; DDK3: Dickkopf-3; E2F3: transcription factor 3; ER- $\alpha$ : estrogen receptor 1 (alpha); GRIN3A: glutamate receptor, ionotropic, N-methyl-D-aspartate 3A; HIF-2 $\alpha$ : hypoxia-inducible factor 2 alpha; KDM1A: lysine-specific demethylase 1; LRG1: leucine-rich alpha-2-glycoprotein 1; MAPK1: mitogen-activated protein kinase 1; MCM5:mini-chromosome maintenance protein; MEF2D: myocyte enhancer factor 2D; miRNA: microRNA; MMP-14: matrix metalloproteinase 14; MNA: MYCN amplification; MYCN: v-myc avian myelocytomatosis viral oncogene neuroblastoma derived homolog; NA: not available; NB: neuroblastoma; NCOR2: nuclear receptor corepressor 2; NEUROD1: neuronal differentiation 1; NTRK2: neurotrophic tyrosine kinase receptor type 2; PPAR $\gamma$ : peroxisome proliferators-activated receptor  $\gamma$ ; PTEN: phosphatase and tensin homolog; RECK: reversion-inducing cysteine-rich protein with Kazal motifs; ROCK1: Rho-associated coiled-coil containing protein kinase 1; SH3GLB2: SH3-domain GRB2-like endophilin B2; SNIP: SNAP25-interacting protein; TGF- $\beta$ : transforming growth factor beta; TrkB: tyrosine kinase B.

highly expressed in NB to repress p53-mediated apoptosis, and was associated with poor outcome in NB with MYCN amplification. A recent study<sup>[20]</sup> showed that miR-124 inhibited the apoptosis and differentiation of NB cells through targeting aryl hydrocarbon receptor (AHR). miR-15a promoted the migration of NB cells through targeting reversion-inducing cysteine-rich protein with Kazal motifs and regulating downstream matrix metalloproteinase 9 (MMP-9) expression,<sup>[21]</sup> indicating the importance of miR-15a-RECK-MMP-9 axis in NB progression.

# Tumor suppressive miRNAs in NB

Bienertova-Vasku et al<sup>[40]</sup> reported that certain miRNAs

(miR-30b, miR-146a, miR-190, miR-204, miR-215, miR-299-5p, miR-362, miR-382, miR-411, miR-424, miR-425, miR-487b, miR-532, miR-629, miR-656, miR-660, miR-668, miR-744, miR-758, miR-873, miR-885-5p, and miR-1197) were down-regulated in high-risk NB. In addition, miR-30c,<sup>[41]</sup> miR-149,<sup>[41]</sup> miR-195,<sup>[45,46]</sup> miR-324-5p,<sup>[47]</sup> and miR-331<sup>[45]</sup> were also identified as down-regulated miRNAs in high-risk NB, and loss of their expression was associated with unfavorable prognosis. Moreover, Gattolliat et al<sup>[25]</sup> found that miR-410 and miR-487b were significantly down-regulated in high-risk NB, and their expression was significantly associated with disease-free survival of non-MYCN-amplified NB, indicating their roles as biomarkers of relapse in favorable NB. Potential tumor suppressive miR-542-5p and miR-628 are expressed

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in favorable NB and virtually absent in unfavorable NB.<sup>[26]</sup> In accordance with these findings, Bray et al<sup>[27]</sup> reported that the lower expression of miR-542-5p is highly associated with poor patient survival, suggesting a putative tumor suppressive function.

Subsequent studies have confirmed the tumor suppressive roles and target genes of many miRNAs in regulating the proliferation and apoptosis of NB. Among these tumor suppressive miRNAs in NB, miR-34a has been extensively investigated. The miR-34 family members, miR-34a and miR-34c, have been shown to target the 3'-UTR of MYCN and inhibit the growth of NB cell lines with 1p36 hemizvgous deletion.<sup>[22,23]</sup> In addition, other genes involved in cell proliferation or apoptosis were targeted by miR-34a, including E2F transcription factor 3, B-cell CLL/lymphoma 2, cyclin D1 (CCND1) and cyclindependent kinase 4 (CDK4).<sup>[1]</sup> Chen et al<sup>[24]</sup> also reported that CDK1 inhibition-induced death of NB cells was exerted through the miR-34a-MYCN-survivin pathway. However, miR-34a was not differentially expressed in favorable versus unfavorable NB.<sup>[26]</sup> The tumor suppressive let-7 family members (let-7e and miR-202) and miR-101 targeted the protooncogene MYCN, and inhibited the proliferation and clonogenic growth of MYCN-amplified NB cells.<sup>[28]</sup> Over-expression of miR-7-1 was found to increase the efficacy of green tea polyphenols by inducing apoptosis in NB cells.<sup>[48]</sup> Moreover, miR-885-5p was down-regulated due to loss of the 3p25.3 region in NB, and played a tumor suppressive role in cell cycle progression and survival of NB cells through targeting CDK2 and mini-chromosome maintenance protein.<sup>[29]</sup> miR-27b was found to target peroxisome proliferatorsactivated receptor  $\gamma$  and inhibit the growth of NB cells.<sup>[30]</sup> Meanwhile, miR-137 played a tumor suppressive role in NB via down-regulation of lysine-specific demethylase 1, which was strongly expressed and correlated with poor prognosis of NB patients.<sup>[32]</sup> miR-204 was confirmed to directly target the 3'-UTR of BCL2 and neurotrophic tyrosine kinase receptor type 2, and ectopic miR-204 expression significantly increased the sensitivity of NB cells to cisplatin and etoposide in vitro, suggesting it as a novel tumor suppressor of NB.<sup>[33]</sup>

Several miRNAs have also been identified to regulate the differentiation of NB. miR-10a and miR-10b were demonstrated as potent differentiation inducers of NB cells via targeting nuclear receptor corepressor 2 (NCOR2), a corepressor of gene transcription.<sup>[34]</sup> By using microarray profiling in combination with real-time PCR, Chen et al<sup>[49]</sup> showed that miR-7 and miR-214 were specifically expressed during the differentiation of NB cells, and controlled neurite outgrowth *in vitro*.

Since invasion, metastasis, and angiogenesis are the leading causes of death in NB patients, a series of studies<sup>[35-39,50]</sup> have been conducted to elucidate the roles of miRNAs in the aggressiveness of this disease. Qiao et al<sup>[35]</sup> reported that miR-335 and miR-363 regulated the gastrin-releasing peptide receptor signaling-mediated tumorigenesis and metastatic potential of NB, whereas miR-335 was also found to suppress the invasiveness of NB cells by directly targeting the non-canonical TGF-B signaling pathway members Rho-associated coiledcoil containing protein kinase 1, mitogen-activated protein kinase 1, and putive member leucine-rich alpha-2- glycoprotein 1.<sup>[36]</sup> Loss of miR-190 expression was demonstrated to lead to activation of the tyrosine kinase B pathway and aggressive phenotypes of NB via directly targeting neuronal differentiation 1.<sup>[37]</sup> In addition, the let-7 family, miR-7, and miR-338-3p exerted anti-metastatic functions in NB.<sup>[50]</sup> Our studies have shown that ectopic expression of miR-9<sup>[38]</sup> and miR-145<sup>[39]</sup> inhibited the growth, invasion, metastasis and angiogenesis of NB cells through targeting MMP-14 and hypoxia-inducible factor 2 alpha, respectively.

# Potential clinical application of miRNAs in NB

In the past few years, it has been established that miRNA panels are helpful for the diagnosis and prognosis of cancers. Following the quick development of powerful miRNA techniques, such as miRNA microarrays, specific quantitative PCR of miRNA, beadbased miRNA profiling, and antisense technologies, miRNAs are expected to have a significant effect on clinical oncology in the next decade, and might be potential novel targets for the treatment of tumors, including NB. Since miRNAs are key factors that regulate many cellular processes, and are aberrantly expressed in tumor specimens relative to normal tissues. it is rational to apply them as valuable tools in cancer diagnosis. Conventional methods, such as RNase protection assay, Northern blot, and quantitative reverse transcription PCR, have been confirmed efficient in detecting the miRNA levels in NB tissues.<sup>[13]</sup> In addition, miRNA markers are proposed as useful tools for the prognostic evaluation of NB. For example, Lin et al<sup>[45]</sup> found 27 miRNAs that could clearly distinguish low- from high-risk patients, indicating that miRNA expression profile was significantly correlated with biological and clinical features of NB. Recently, miRNome analyses have become easier by microarray techniques, which are better at predicting the type, stage and pathological features of tumors than mRNA expression profile.

Different studies have shown that a number of miRNAs affect the growth, invasion and metastases of NB cells in vitro and in vivo. Consequently, the biology of NB cells can be controlled by manipulating miRNA levels. Over-expression or silencing of particular miRNA can be achieved in several ways, miRNAs can be upregulated by synthetic mimics such as small interfering RNA-like oligoribonucleotide duplex or chemically modified oligoribonucleotide, and can be inhibited by modified antisense oligonucleotides.<sup>[13]</sup> Transfection of miR-338-3p mimics into rat NB cells resulted in significant decreased levels of its host gene apoptosisassociated tyrosine kinase.<sup>[51]</sup> Targeted delivery of miR-34a using anti-GD2-coated nanoparticles resulted in increased apoptosis and decreased growth and angiogenesis of NB cells.<sup>[52]</sup> In addition, Chakrabarti et al<sup>[31]</sup> reported that over-expression of miR-138 through direct transfection of mimics was more powerful than transfection of short hairpin RNA plasmid for decreasing the viability and colony formation capability of NB cell lines. Huang et al<sup>[20]</sup> validated that knockdown of miR-124 facilitated the differentiation, cell cycle arrest and apoptosis of NB cells. These findings suggest that some special miRNAs can serve as potential therapeutic targets of human NB.

Some clinical trials are undergoing to confirm the roles of miRNAs in human cancers, and the results of which might help us in identifying prognostic factors to stratify the patients into risk categories. The results of Köberle et al<sup>[53]</sup> indicated that serum miR-122 and miR-1 levels were correlated with clinical parameters of hepatocellular carcinoma (HCC) patients and might therefore improve the predictive value of classical HCC staging scores. A prospective cohort study (ClinicalTrials. gov, Identifier: NCT01612871) is undertaking to evaluate the values of a panel of circulating miRNA markers in identifying breast cancer patients who are most likely to respond well to neoadjuvant and adjuvant chemotherapy. A placebo-controlled, double-blind, randomized, dose escalating trial (ClinicalTrials.gov, Identifier: NCT00688012) in healthy men also evaluates the safety, tolerability, pharmacokinetics and pharmacodynamics of miR-122 inhibitor SPC3649, representing the first human clinical trial of miRNA inhibitor.

Taken together, preliminary results have suggested that miRNAs are useful for the diagnosis, prognosis and therapy of NB. Targeting strategies for particular miRNAs have been developed and assessed for their safety and efficacy in NB *in vivo* and *in vitro*. However, our understanding of the functions and gene regulatory networks of miRNAs in NB is still limited, further elucidation of their roles and extensive preclinical research are necessary before the clinical application.

#### **Conclusions**

NB is the most common extracranial solid tumor in childhood, and displays remarkable heterogeneity in clinical behavior. It has been currently established that deregulation of miRNAs is associated with the development and progression of NB. Although the two major pediatric malignant solid tumors, NB and MB, share some common miRNA profiles, they are quite different in target genes, regulatory mechanisms or potential functions, which might provide a novel way to improve the diagnosis and prognosis of NB. The development of novel miRNA-based therapeutic strategies that target the pathways responsible for malignant transformation and progression of NB is necessary, especially for the high-risk category.

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